

# Comparative Study of 3D Conformal Radiation Therapy by 3 Fields v/s 5 Fields Treatment Planning Techniques for Head and Neck Cancer

Dharampal Jakhar<sup>1</sup>, Rakesh Kumar Sihag<sup>2</sup>, Vidushi Saharan<sup>3</sup>, Saroj Dhaka<sup>4</sup>, Surender Beniwal<sup>5</sup>, Shankar Lal Jakhar<sup>5</sup>

<sup>1</sup>Department of Medical Oncology, Tata Memorial Hospital, Mumbai, India. <sup>2</sup>Department of Neuro Surgery, AIIMS Rishikesh, India. <sup>3</sup>Department of Pathology, SMS Medical College, Jaipur, Rajasthan, India. <sup>4</sup>Department of Radiation Oncology, Dr S N Medical College, Jodhpur, Rajasthan, India. <sup>5</sup>Department of Medical Oncology, S P Medical College, Bikaner Rajasthan, India.

## Abstract

**Objective:** This study aimed to compare conventional 3-field and 5-field treatment planning techniques during 3-dimensional conformal radiotherapy (3D-CRT) in terms of organ at risk (OAR) sparing, planning target volume (PTV) coverage, treatment response, and toxicities in head and neck cancer patients.

**Materials and methods:** Fifty biopsy-proven and registered patients with head and neck cancer participated in this study. Patients were randomized into two arms: Arm A (3D-CRT using a 3-field delivery technique) and Arm B (3D-CRT using a 5-field delivery technique). All patients received radiation therapy on a linear accelerator with concurrent weekly cisplatin chemotherapy. Target volumes and normal structures were manually contoured on the axial slices of the planning CT scan. Patients were evaluated at the end of treatment and during follow-up visits at 1, 3, and 6 months. **Results:** At the end of treatment, complete response was achieved in 22 (88%) patients in Arm A and 23 (92%) patients in Arm B. At 6 months, complete response rates were 76% and 80% in Arms A and B, respectively (p-value = 0.6836). Grade 3 xerostomia occurred in 12% of patients in Arm A and 4% of patients in Arm B (p-value = 0.92). The mean V95 (percentage of PTV receiving at least 95% of the prescribed dose) was 90.93 for the conventional 3-field technique and 93.28 for the 5-field technique (p-value = 0.08).

**Conclusion:** The 5-field 3D-CRT technique can effectively spare the parotid glands and other OARs while providing better PTV coverage, particularly in patients with laryngeal carcinoma, N2 or less nodal involvement, and no involvement of higher neck node levels.

**Keywords:** 3D-CRT- PTV coverage- head and neck cancers

*Asian Pac J Cancer Care*, 9 (1), 73-76

Submission Date: 08/22/2023

Acceptance Date: 01/17/2024

## Introduction

Radiation treatment of the patients with head and neck cancer is considered one of the most challenging treatments in radiotherapy because of patient anatomy, multiple targets with different dose prescriptions, extensive tumor volume and important critical organ at risk (OAR) at this site [1]. Moreover, doses up to 70-72 Gy with conventional fractionation may be prescribed. The maximal dose tolerated by the spinal cord is considered to be between 45 Gy and 50 Gy [2]. Parotid is another important OAR in head and neck radiotherapy

with maximum tolerable mean dose of 26 Gy [3-4].

Intensity modulated radiation therapy (IMRT) is a refinement of three-dimensional conformal radiotherapy (3D-CRT) which allows the modulation of radiation beam intensity, So high dose can be delivered with significantly reduced dose to normal tissues [5-7]. Although IMRT is the most ideal treatment technique for head and neck cancer patients but the longer daily treatment time is a limiting factor for busy clinics. Therefore, 3DCRT is still widely used to treat HN cancers. Some modification

## Corresponding Author:

Dr. Saroj Dhaka

Department of Radiation Oncology, Dr S N Medical College, Jodhpur, Rajasthan, India.

Email: sarojdhakasihag@gmail.com

in field can improve dose distribution so it is reasonable to consider the use of 3D-CRT for irradiation of H&N cancers as a feasible and cost-effective technique [8].

In 3D-CRT, the 3-field classic technique (two lateral opposed fields abutted to a lower anterior neck field) seems the simplest to be used. When we increase fields there can be increased chances of PTV coverage and decrease dose to normal tissue.

The purpose of this article is to compare the five field 3D-CRT with classic three field 3D-CRT with the aim to determine the more effective technique for sparing parotid glands and spinal cord while keeping adequate dose coverage of PTVs.

## Materials and Methods

This was a randomised prospective study conducted at Acharya Tulsi Regional Cancer Treatment and Research Institute, Sardar Patel Medical College and associated group of hospitals, Bikaner.

The study protocol includes 50 patients of histologically proven unresectable locally advanced squamous cell carcinoma of head and neck (LASCEN) of stage III-IV. Who were enrolled from April 2019 to November 2020. Inclusion criteria included inoperable, locally advanced, histologically proved stage III&IV squamous cell carcinoma of head and neck patients, ECOG performance status 0-2, Age 18-70 years, without any haematological, cardiac, renal or liver function abnormality, no previous history of treatment for the head and neck cancer and no any other concurrent malignancies. Total 50 patients, randomly selected were divided into two groups of 25 patients in each; randomization was done using computer software. (<https://www.randomizer.org/>).

Both arms were irradiated by linear accelerator (Make: Varian, Model: 2300CD with multileaf collimators having 40 pairs of leaves and each leaf having 1cm width at isocentre) with concurrent chemotherapy in form of three weekly Cisplatin.

CT imaging was done for each patient prior to start of the treatment. All patients were undergo head-and-neck immobilization with a thermoplastic mask and CT simulation according to standard protocols. Target volumes and normal structures were manually contoured on the axial slices of the planning CT scan.

In this study, the total dose of up to 70Gy was prescribed to the PTV, with the conventional fractionation scheme of 2 Gy per fraction, 5 fractions per week. However, two arms were compared with the dose prescription of 44 Gy.

Patients were evaluated at end of treatment, 1<sup>st</sup>, 3<sup>rd</sup> & 6<sup>th</sup> month follow up visits by complete clinical examination including laryngoscopy (Direct & Indirect), haematological investigation, USG abdomen, chest X-ray & soft tissue neck X-ray will also be done on follow up visits.

CECT head and neck was done at 3<sup>rd</sup> and 6<sup>th</sup> months follow-up visits.

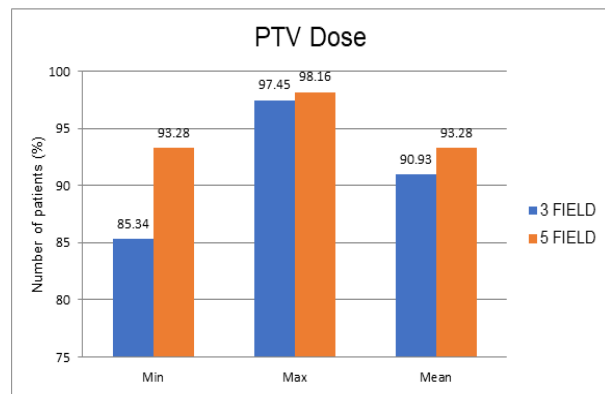


Figure 1. PTV Coverage

## Results

Table 1 shows patients characteristics, which are comparable in both arms. Table 2 shows response evaluation for disease control. The response evaluation was done according to the RECIST criteria (Response Evaluation Criteria in Solid Tumors v-1.1) at the end of the treatment, one, three and six months after end of treatment. At the end of treatment, 22 patients showed CR, 1 patient showed PR, 1 patient showed SD and 1 patient was in progression of disease in control arm; While in

Table 1. Patients Characteristics

Patients characteristics	Study Arm	Control Arm
Age (in years)		
Median age	56yr	56 yr
Range	38-70 yrs	36-69 yrs
Sex		
Male	24	23
Female	1	2
ECOG		
0	9	9
1	14	13
2	2	3
Tumor stage		
T2	1	2
T3	19	18
T4	5	5
Nodal stage		
N0	6	12
N1	7	6
N2	12	5
N3	0	2
Group stage		
Stage III	11	15
Stage IV	14	10
Anatomical site		
Oral cavity/ Oropharynx	13	17
Hypopharynx	8	5
Larynx	4	3

Table 2. Treatment Response

Disease Response	End of treatment	3 field 3D-CRT arm			5 field 3D-CRT arm			
		At 1 month after treatment	At 3 months after treatment	At 6 month after treatment	End of treatment	At 1 month after treatment	At 3 month after treatment	At 6 months after treatment
CR	22	21	20	19	23	22	21	20
PR	1	2	2	2	1	2	1	2
SD	1	1	0	0	0	0	0	0
PD	1	1	2	2	1	1	2	2
DEATH	0	0	1	2	0	0	1	1
Total	25	25	25	25	25	25	25	25

Table 3. Spinal Cord Max

DOSE (Gy)	3 field 3D-CRT arm	5 field 3D-CRT arm
Less than 44Gy	4	8
44.01 – 44.99	3	5
45- 45.99	11	6
46-46.99	5	4
47-47.99	2	2
More than 48 Gy	0	0
Total	25	25

Table 4. Mean Parotid Dose

	3 field 3D-CRT	5 field 3D-CRT
Right MPD (cGy)	3411.43	3129.16
Left MPD (cGy)	3480.72	3203.36

study arm 23 patients had CR, 1 patient had PR and one patient had PD.

After 6 months of completion of treatment, Total 19 vs 20 patients had CR, 2 patients in each arm had PR and 2 patients in each arm had PD in control and study arms respectively (p value=0.6836).

After 6 months total 3 patients were expired in control arm and two patients expired in study arm due to disease itself. The patients who have partial response after 3 months treated with chemotherapy.

Figure 1 shows mean PTV dose coverage, V95 and max PTV dose were compared in between convention 3 field technique vs 5 field technique. Chi square test and p value are used for statistical analysis. Mean V95 was 90.93 with conventional 3 field technique and was 93.28 with 5 field technique. There is no significant difference between the mean V95 with the conventional technique or with 5

field technique (90.93% vs. 93.28%; p = 0.08). Absolute Mean PTV dose coverage do not differ significantly among the 3 field 3D-CRT arm and 5 field 3D-CRT arm (89% vs 93.36 % [p=0.073]). Mean of maximum dose coverage was also similar between two techniques. Mean of maximum dose was 109.62% vs 109.71% (p = 0.076).

Table 3 shows that, maximum doses did not differ significantly among the two techniques. Mean maximum spinal cord in conventional 3 filed vs 5 field is 45.15 Gy vs 44.83 Gy. None of the patient in either arm had received more than 48 Gy dose to spinal cord. These doses are well within the dose constrain of spinal cord.

Table 4 suggests than Mean parotid dose (MPD) in conventional 3 field technique is 34.11 Gy and 34.80 Gy in right and left parotid respectively. Similarly MPD with 5 field technique is 31.29 Gy and 32.03 Gy in right and left sided parotid respectively. MPD with 5 field technique is reduced in comparison to conventional 3 field technique, although it was statistically insignificant (p value 0.92).

In this study, maximum doses did not differ significantly among the two techniques. Mean maximum spinal cord in conventional 3 filed vs 5 field is 45.15 Gy vs 44.83 Gy. None of the patient in either arm had received more than 48 Gy dose to spinal cord. These doses are well within the dose constrain of spinal cord (Table 4).

Mean parotid dose (MPD) in conventional 3 field technique is 34.11 Gy and 34.80 Gy in right and left parotid respectively. Similarly MPD with 5 field technique is 31.29 Gy and 32.03 Gy in right and left sided parotid respectively. MPD with 5 field technique is reduced in comparison to conventional 3 field technique, although it was statistically insignificant (p value 0.92).

Table 5. Similar Studies

S.N.	Study	Purpose	Rseult
1	Antonella Fogliata, et al 1999 [9]	Compare three field vs five field treatment technique	PTV coverage 95.6 in 5 field, 98.7 in 3 field, less toxicity in 5 field
2	Lee N. et al 2004 [10]	FPMS technique	59.4 Gy at 75% isodose curve
3	Portaluri. et al 2006 [11]	FIF in 3D-CRT vs IMRT	PTV coverage was 96.2 in FIF, mean dose to parotid 38.3Gy
4	Mohmed Yassine Herrasi et al 2013 [12]	FPMS/3-DONPAS/FIF/BELLINZONA	PTV coverage better in FPMS and FIF technique

## Discussion

Head and neck cancer treatment is the most difficult to plan because of patient anatomy, multiple targets with different dose prescriptions, large extension of the treatment region, and the number of structures at risk. To overcome planning difficulties, highly sophisticated techniques such as IMRT, IMAT, or VMAT have been developed, which yield much better results than does three-dimensional conformal radiotherapy (3DCRT), especially in the sparing of the organs-at-risk (OARs) (Table 5). However, these techniques cannot be universally used, due to unavailability of adequate equipment, organization, or patient status. In this study, we tried to study that can 5 field instead of 3 field technique can improve PTV coverage while sparing organ at risk such as parotid, spinal cord. In this study PTV coverage is improved with 5 field technique although it was statistically insignificant. Mean parotid dose is also reduced with 5 field technique but it was higher than recommended dose constraints of MPD (mean parotid dose). However, in analysis of larynx subgroup especially with less than N2 and not involving higher node, MPD was nearer to target dose constrain of 26 Gy. None of patient in either arm had received radiation dose higher than recommended dose constrain of spinal cord, brain stem and lens.

In conclusion, 5 field 3 D-CRT technique can be used to spare parotid and other OAR and better PTV coverage specially in larynx carcinoma patients with N2 or less nodal involvement and not involving higher neck node levels.

### Limitations

Small size of sample and short follow-up of patients is limitation of this study. Study with larger sample size and of longer follow-up will help to confirm the results of this study.

## References

1. Martel MK, Eisbruch A, Lawrence TS, Fraass BA, Ten Haken RK, Lichter AS. Spinal cord dose from standard head and neck irradiation: implications for three-dimensional treatment planning. *Radiotherapy and Oncology: Journal of the European Society for Therapeutic Radiology and Oncology*. 1998 05;47(2):185-189. [https://doi.org/10.1016/s0167-8140\(97\)00212-0](https://doi.org/10.1016/s0167-8140(97)00212-0)
2. Moiseenko V, Wu J, Hovan A, Saleh Z, Apte A, Deasy JO, Harrow S, et al. Treatment planning constraints to avoid xerostomia in head-and-neck radiotherapy: an independent test of QUANTEC criteria using a prospectively collected dataset. *International Journal of Radiation Oncology, Biology, Physics*. 2012 03 01;82(3):1108-1114. <https://doi.org/10.1016/j.ijrobp.2011.04.020>
3. Tribius S, Sommer J, Prosch C, Bajrovic A, Muenscher A, Blessmann M, Kruehl A, et al. Xerostomia after radiotherapy. What matters--mean total dose or dose to each parotid gland?. *Strahlentherapie Und Onkologie: Organ Der Deutschen Rontgengesellschaft. [et Al]*. 2013 03;189(3):216-222. <https://doi.org/10.1007/s00066-012-0257-2>
4. Menzel HG. *International Commission on Radiation Units*

and Measurements. *Journal of the ICRU*. 2014 04;14(1):NP. <https://doi.org/10.1093/jicru/ndw040>

5. Eisbruch A, Ten Haken RK, Kim HM, Marsh LH, Ship JA. Dose, volume, and function relationships in parotid salivary glands following conformal and intensity-modulated irradiation of head and neck cancer. *International Journal of Radiation Oncology, Biology, Physics*. 1999 Oct 01;45(3):577-587. [https://doi.org/10.1016/s0360-3016\(99\)00247-3](https://doi.org/10.1016/s0360-3016(99)00247-3)
6. Chao KS, Deasy JO, Markman J, Haynie J, Perez CA, Purdy JA, Low DA. A prospective study of salivary function sparing in patients with head-and-neck cancers receiving intensity-modulated or three-dimensional radiation therapy: initial results. *International Journal of Radiation Oncology, Biology, Physics*. 2001 03 15;49(4):907-916. [https://doi.org/10.1016/s0360-3016\(00\)01441-3](https://doi.org/10.1016/s0360-3016(00)01441-3)
7. Wu Q, Manning M, Schmidt-Ullrich R, Mohan R. The potential for sparing of parotids and escalation of biologically effective dose with intensity-modulated radiation treatments of head and neck cancers: a treatment design study. *International Journal of Radiation Oncology, Biology, Physics*. 2000 01 01;46(1):195-205. [https://doi.org/10.1016/s0360-3016\(99\)00304-1](https://doi.org/10.1016/s0360-3016(99)00304-1)
8. Wiggeraad R, Mast M, Santvoort J, Hoogendoorn M, Struikmans H. ConPas: a 3-D conformal parotid gland-sparing irradiation technique for bilateral neck treatment as an alternative to IMRT. *Strahlentherapie Und Onkologie: Organ Der Deutschen Rontgengesellschaft ... [et Al]*. 2005 Oct;181(10):673-682. <https://doi.org/10.1007/s00066-005-1413-8>
9. Fogliata A, Cozzi L, Bieri S, Bernier J. Critical appraisal of a conformal head and neck cancer irradiation avoiding electron beams and field matching. *International Journal of Radiation Oncology, Biology, Physics*. 1999 Dec 01;45(5):1331-1338. [https://doi.org/10.1016/s0360-3016\(99\)00319-3](https://doi.org/10.1016/s0360-3016(99)00319-3)
10. Lee N, Akazawa C, Akazawa P, Quivey JM, Tang C, Verhey LJ, Xia P. A forward-planned treatment technique using multisegments in the treatment of head-and-neck cancer. *International Journal of Radiation Oncology, Biology, Physics*. 2004 06 01;59(2):584-594. <https://doi.org/10.1016/j.ijrobp.2004.02.005>
11. Portaluri M, Fucilli FIM, Castagna R, Bambace S, Pili G, Tramacere F, Russo D, Francavilla MC. Three-dimensional conformal radiotherapy for locally advanced (Stage II and worse) head-and-neck cancer: dosimetric and clinical evaluation. *International Journal of Radiation Oncology, Biology, Physics*. 2006 Nov 15;66(4):1036-1043. <https://doi.org/10.1016/j.ijrobp.2006.03.014>
12. Herrassi MY, Bentayeb F, Malisan MR. Comparative study of four advanced 3d-conformal radiation therapy treatment planning techniques for head and neck cancer. *Journal of Medical Physics*. 2013 04;38(2):98-105. <https://doi.org/10.4103/0971-6203.111331>



This work is licensed under a Creative Commons Attribution-Non Commercial 4.0 International License.